

## Electronic Acknowledgement Receipt

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|---------------------------------------------|------------------------------------|
| <b>EFS ID:</b>                              | 5168959                            |
| <b>Application Number:</b>                  | 10733700                           |
| <b>International Application Number:</b>    |                                    |
| <b>Confirmation Number:</b>                 | 8671                               |
| <b>Title of Invention:</b>                  | Auction with interest rate bidding |
| <b>First Named Inventor/Applicant Name:</b> | William R. Watt                    |
| <b>Customer Number:</b>                     | 49845                              |
| <b>Filer:</b>                               | Mark Victor Muller/Chris Bartl     |
| <b>Filer Authorized By:</b>                 | Mark Victor Muller                 |
| <b>Attorney Docket Number:</b>              | 2043.159US1                        |
| <b>Receipt Date:</b>                        | 16-APR-2009                        |
| <b>Filing Date:</b>                         | 11-DEC-2003                        |
| <b>Time Stamp:</b>                          | 17:25:30                           |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)        |

### Payment information:

|                        |    |
|------------------------|----|
| Submitted with Payment | no |
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### File Listing:

| Document Number | Document Description | File Name      | File Size(Bytes)/<br>Message Digest                  | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|----------------|------------------------------------------------------|------------------|------------------|
| 1               |                      | 2043159US1.pdf | 232209<br>46b481246c817a9a38f7b238f6e1a5c49<br>02513 | yes              | 19               |

|                     | Multipart Description/PDF files in .zip description  |              |                                                     |          |
|---------------------|------------------------------------------------------|--------------|-----------------------------------------------------|----------|
|                     | Document Description                                 | Start        | End                                                 |          |
|                     | Miscellaneous Incoming Letter                        | 1            | 1                                                   |          |
|                     | Response to Election / Restriction Filed             | 2            | 2                                                   |          |
|                     | Claims                                               | 3            | 11                                                  |          |
|                     | Applicant Arguments/Remarks Made in an Amendment     | 12           | 13                                                  |          |
|                     | Transmittal Letter                                   | 14           | 15                                                  |          |
|                     | Information Disclosure Statement (IDS) Filed (SB/08) | 16           | 19                                                  |          |
| <b>Warnings:</b>    |                                                      |              |                                                     |          |
| <b>Information:</b> |                                                      |              |                                                     |          |
| 2                   | NPL Documents                                        | 001_317.pdf  | 2827158<br>972e8d87a8ba1525724511e386c8857658b3a4   | no<br>39 |
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| 3                   | NPL Documents                                        | 002_826.pdf  | 82968<br>9546a23d1262b10249bade65ccae07ce81db777    | no<br>2  |
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| 4                   | NPL Documents                                        | 003_668.pdf  | 83284<br>c190064623828628bd26a792f9818142b2d8a94    | no<br>2  |
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| 5                   | NPL Documents                                        | 004_862.pdf  | 473550<br>2510b31ae5ab6da33c88894ad85dce86c9cb86e   | no<br>5  |
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| 6                   | NPL Documents                                        | 005_1242.pdf | 330047<br>9aeb8962a8ba4c4c6d79254b794a205748c748B   | no<br>11 |
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| 7                   | NPL Documents                                        | 006_305.pdf  | 1300331<br>9611f8baee4325139114594385a6289af3b27749 | no<br>29 |

Warnings:

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| 8            | NPL Documents | 007_amixdemo_screen_shotsd<br>oc.pdf | 13614478                                 | no | 49 |
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| 9            | NPL Documents | 008_slw_us_matter_2043086us<br>2.pdf | 567503                                   | no | 13 |
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| 10           | NPL Documents | 009_2043086us2_foar_020609.<br>pdf   | 721096                                   | no | 17 |
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| 11           | NPL Documents | 010_2043086us5_resp_030909.<br>pdf   | 291192                                   | no | 10 |
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| 12           | NPL Documents | 011_2043086u14_resp_021109.<br>.pdf  | 352231                                   | no | 11 |
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| 13           | NPL Documents | 012_2043_086us4amend2.pdf            | 674139                                   | no | 19 |
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| 14           | NPL Documents | 013_2043086u12_foar_020909.<br>pdf   | 506678                                   | no | 13 |
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| 15           | NPL Documents | 014_p_2043086u13.pdf                 | 182481                                   | no | 5  |
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| 16           | NPL Documents | 015_2043086u13_resp_030309.<br>.pdf  | 663397                                   | no | 15 |
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| <b>Warnings:</b>                                                                                                                                                                                           |               |                     |                                          |    |    |
| <b>Information:</b>                                                                                                                                                                                        |               |                     |                                          |    |    |
| 17                                                                                                                                                                                                         | NPL Documents | 016_2043086u111.pdf | 251911                                   | no | 7  |
|                                                                                                                                                                                                            |               |                     | 3f40b192128ba785c713d8986c8828f342c3b8   |    |    |
| <b>Warnings:</b>                                                                                                                                                                                           |               |                     |                                          |    |    |
| <b>Information:</b>                                                                                                                                                                                        |               |                     |                                          |    |    |
| 18                                                                                                                                                                                                         | NPL Documents | 017_2043086us7.pdf  | 1755237                                  | no | 32 |
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| <b>Warnings:</b>                                                                                                                                                                                           |               |                     |                                          |    |    |
| <b>Information:</b>                                                                                                                                                                                        |               |                     |                                          |    |    |
| 19                                                                                                                                                                                                         | NPL Documents | 018_2043036us31.pdf | 365990                                   | no | 10 |
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| <b>Warnings:</b>                                                                                                                                                                                           |               |                     |                                          |    |    |
| <b>Information:</b>                                                                                                                                                                                        |               |                     |                                          |    |    |
| 21                                                                                                                                                                                                         | NPL Documents | 020_409.pdf         | 524462                                   | no | 7  |
|                                                                                                                                                                                                            |               |                     | 597faa511199396a7b3b9486d40489b3585b830  |    |    |
| <b>Warnings:</b>                                                                                                                                                                                           |               |                     |                                          |    |    |
| <b>Information:</b>                                                                                                                                                                                        |               |                     |                                          |    |    |
| 22                                                                                                                                                                                                         | NPL Documents | 021_419.pdf         | 3081993                                  | no | 47 |
|                                                                                                                                                                                                            |               |                     | c1208c12d802e4755c759ca5d9623b1c6141e6d6 |    |    |
| <b>Warnings:</b>                                                                                                                                                                                           |               |                     |                                          |    |    |
| The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing |               |                     |                                          |    |    |
| <b>Information:</b>                                                                                                                                                                                        |               |                     |                                          |    |    |
| 23                                                                                                                                                                                                         | NPL Documents | 022_679.pdf         | 2944968                                  | no | 70 |
|                                                                                                                                                                                                            |               |                     | 9a1924d7ea4161794874f52ebec1a7e5127d969  |    |    |
| <b>Warnings:</b>                                                                                                                                                                                           |               |                     |                                          |    |    |
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| 24                                                                                                                                                                                                         | NPL Documents | 023_446.pdf         | 1653530                                  | no | 22 |
|                                                                                                                                                                                                            |               |                     | 0ba491cb1ade0817d8d1494516197204d83a3c2  |    |    |
| <b>Warnings:</b>                                                                                                                                                                                           |               |                     |                                          |    |    |
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| 25           | NPL Documents | 024_447.pdf  | 1738231<br>46567e51302d4276c29b2dd59a6b8bec7759be    | no | 24 |
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| 26           | NPL Documents | 025_453.pdf  | 3778417<br>377c5665che998259405416a10f02d74ef20e2    | no | 49 |
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| 27           | NPL Documents | 026_463.pdf  | 479672<br>eb25773c083cafe8b0a0551839d4121e1a51e0d    | no | 14 |
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| 28           | NPL Documents | 027_465.pdf  | 3572424<br>9bc1d85a158bce7979aee49d15dc1705a8169f5d8 | no | 52 |
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| 29           | NPL Documents | 028_556.pdf  | 60320<br>b8ec1a885e4cc853cc5979a6ee42ccbe021f5a09    | no | 2  |
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| 30           | NPL Documents | 029_735.pdf  | 195429<br>cdc9ba75a44362688c1b1ae65eeffca98be480     | no | 4  |
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| 31           | NPL Documents | 030_590.pdf  | 132714<br>43009115ende1895cb5cb102b2728ff606058560   | no | 3  |
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| 33           | NPL Documents | 032_1246.pdf | 340248<br>7ab5db34e8f825501a418c9d6112a477a17ab604   | no | 13 |
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| 36           | NPL Documents | 035_925.pdf  | 123016<br>e665e1d2101f15451d1811e1c5d91b1820d462    | no | 3  |
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| 39           | NPL Documents | 038_1139.pdf | 5493468<br>5b7b6d4980e112c961c25d6d6d0b018cc211     | no | 79 |
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| 42           | NPL Documents | 041_646.pdf  | 372474<br>274958079e91a6007aef7228c799332a88e7a48   | no | 6  |
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| 43           | NPL Documents | 042_294.pdf  | 1460569<br>99b79753463246d1445479ac2e081ba5c93e0d4  | no | 17 |
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| 45           | NPL Documents | 044_287.pdf  | 200839<br>fe4ce0b0173a1fe00386c09534ac18182cd42f    | no | 3  |
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| 47           | NPL Documents | 046_1021.pdf | 1400682<br>25dce93c8b6c6d46c3f5136f9102ed73b59d31   | no | 22 |
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| 49           | NPL Documents | 048_414.pdf  | 1197087<br>a091e0510334cbb9d6dd7ce8ad21a9513c4cd999 | no | 27 |
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| 50           | NPL Documents | 049_1092.pdf | 258971<br>50dc0b418bc0c5899a3ac1c02e9792189db7be    | no | 5  |
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| 51           | NPL Documents | 050_364.pdf  | 539411<br>c0d27f2349b694d5ef60ec4158a0eb159047808   | no | 10 |
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| 52                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | NPL Documents | 051_799.pdf | 107806<br>68f248ed7a6dd14380197a6a0d617e321<br>1eb236  | no | 3  |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               |             |                                                        |    |    |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |             |                                                        |    |    |
| 53                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | NPL Documents | 052_438.pdf | 483476<br>1e6229579a3f6ed07810d27a67c0f104d616<br>181d | no | 13 |
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| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |             |                                                        |    |    |
| 54                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | NPL Documents | 053_360.pdf | 451535<br>6a1cc244b9816e11c1e4c7537291131c9f7<br>e5ca7 | no | 5  |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               |             |                                                        |    |    |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |             |                                                        |    |    |
| 55                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | NPL Documents | 054_723.pdf | 837166<br>2c3bb6ec5cd9446a60008f903d25415e0<br>0a159   | no | 17 |
| <b>Warnings:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               |             |                                                        |    |    |
| <b>Information:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |             |                                                        |    |    |
| <b>Total Files Size (in bytes):</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |             | 71096001                                               |    |    |
| <p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b><br/>         If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b><br/>         If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b><br/>         If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p> |               |             |                                                        |    |    |